

U-990

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

2020

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2020 calendar year, or tax year beginning JUL 1, 2020 and ending JUN 30, 2021

B Check if applicable: Address change, Name change, Initial return, Final return/terminated, Amended return, Application pending. C [p] t~u~fp) xp.x} ARI ZONA STATE UN VERSI TY FOUNDATI ON FOR A NEWANER I CAN UN VERSI TY S~x v q t ~ x t ~ p ASU FOUNDATI ON] t | q t f p } s ~ . f t . i . (or P.O. box if mail is not delivered to street address) Room/suite P. O. BOX 2260 R x S ~ f . . } ; ~ . p . t ~ f ~ f ~ t ~ r t ; r ~ t } . S ; p } s i X ~ f u f ~ x } ~ . ~ . p { r ~ s t TEMPE, AZ 85280-2260 F [p] t p } s p s s f . . ~ u ~ f ~ r ~ e p { ~ u r t f GRETCHEN BULLIG SAME AS C ABOVE D Employer identification number 86-6051042 E ct(f~w~)t}t| qt f 480-965-1791 G Gross receipts \$ 674,751,388 H(a) X . v x ~ p v f ~ t ~ f . t f u ~ f , t q ~ f s ~ p . t , N " Yes X No H(b) Are all subordinates included? Yes No Xi | ~ ; l p . ~ p r w p ~ x ~ s b t t ~ , . f r . x , H(c) V f ~ t ~ t ~ % ~ [~ x ~] t | q t f ~ K Form of organization: X Corporation Trust Association Other | Year of formation: 1955 M State of legal domicile: AZ pf . X bt | | p / S

Activities & Governance table with 7 columns: Description, 3, 4, 5, 6, 7a, 7b. Includes rows for Officers, Trustees, and other governance roles.

Revenue and Expenses table with 3 columns: Description, Prior Year, Current Year. Includes rows for Revenue (8-12) and Expenses (13-19).

Net Assets or Fund Balances section (20-22) and Signature section. Includes fields for preparer name (DAN EL ROMANO), firm name (GRANT THORNTON LLP), and signature of officer (VIRGINIA E. DESANTO TREASURER).

X
X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X

X



X

X

X

X

X

X

X



X

X

X

X

X

X

X

X

X

X

X

X

X

X



55
0



X

(continued)

		Yes	No
2a	174		
b		X	
Note:	e-file		
3a		X	
b	If "No" to line 3b, provide an explanation on Schedule O	X	
4a			X
b			
5a			X
b			X
c			
6a			X
b			
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	X	
b		X	
c			X
d	7d		
e			X
f			X
g			
h			
8	Sponsoring organizations maintaining donor advised funds.		
9	Sponsoring organizations maintaining donor advised funds.		
a			
b			
10	Section 501(c)(7) organizations.		
a	10a		
b	10b		
11	Section 501(c)(12) organizations.		
a	11a		
b	11b		
12a	Section 4947(a)(1) non-exempt charitable trusts.		
b	12b		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a			
Note:			
b			
c	13b		
	13c		
14a			X
b	If "No," provide an explanation on Schedule O		
15			X
16			X



X

17			
14			
		X	
			X
	X		X
	X		
	X		
	X		
	X		
	X		
	X		
	X		
			X

X

X

X

X

X

X

X

X

X

X

X

AK, AZ, CO, HI, IL, IN, NY, OK, OR, SC

X

X

VIRGINIA E. DESANTO - 480-965-1791
300 E. UNIVERSITY DRIVE, TEMPE, AZ 85281

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)									
(A)	(B)	(C) (do not check more than one box, unless person is both an officer and a director/trustee)					(D)	(E)	(F)
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
(18) HARRY PAPP DI RECTOR	1.00 1.00	X					0	0	0
(19) MALISSIA CLIFTON DI RECTOR	2.00 0.00	X					0	0	0
(20) MARY HENTGES DI RECTOR	2.00 0.00	X					0	0	0
(21) DOUG FULTON DI RECTOR	2.00 0.00	X					0	0	0
(22) IRA FULTON DI RECTOR	2.00 0.00	X					0	0	0
(23) LAUREN BAILEY DI RECTOR	1.00 0.00	X					0	0	0
(24) ANNE MARI UCCI DI RECTOR	2.00 2.00	X					0	0	0
(25) JIM O'BRIEN DI RECTOR	1.00 2.00	X					0	0	0
(26) TONY SANSAM DI RECTOR	2.00 0.00	X					0	0	0
1b Subtotal							1,799,474.	1,503,427.	376,752
c Total from continuation sheets to Part VII, Section A							0	0	0
d Total (add lines 1b and 1c)							1,799,474.	1,503,427.	376,752

		Yes	No
3	former If "Yes," complete Schedule J for such individual	X	
4	If "Yes," complete Schedule J for such individual	X	
5	If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

(A)	(B)	(C)
LAUREL STRATEGIES, INC. 4A OXFORD STREET, CHEVY CHASE, MD 20815	CONSULTING	400,000
DAUN LLC, 207 WEST 21ST STREET, STE. 3, NEWYORK, NY 10011	CONSULTING	286,738
THE EUDY COMPANY LTD, 4200 MASSACHUSETTS AVE. NW/312, WASHINGTON, DC 20016	PROFESSIONAL FUNDRAISER	260,955
SCOTT PRENN LLP, 28 OLD BROMPTON ROAD, LONDON, UNITED KINGDOM/SW7 3SS	FUNDRAISING CONSULTANT	247,012
ELI ASSON GROUP 4506 DALTON ROAD, CHEVY CHASE, MD 20815	FUNDRAISING CONSULTANT	174,250
2	9	

				(A)	(B)	(C)	(D)
				X			
				(A)	(B)	(C)	(D)
1 a	1a						
b	1b						
c	1c						
d	1d	2,957,016					
e	1e						
f	1f	150,140,940					
g	1g	21,400,975					
g Noncash contributions included in lines 1a-1f				153,097,956			
		Business Code					
PROGRAM SUPPORT		611710		1,405,383	1,405,383		
PROGRAM REVENUE AND ME		541900		31,000	31,000		
				1,436,383			
				14,212,570		14,914	14,197,656
				19,863			19,863
		503,196,312	32,000				
		441,363,969	9,277				
		61,832,343	22,723				
				61,855,066			61,855,066
		Business Code					
ASSET MANAGEMENT FEES		900099		2,715,672	2,715,672		
MISCELLANEOUS		900099		40,632	40,632		
				2,756,304			
				233,378,142	4,192,687	14,914	76,072,585

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

	(A)	(B)	(C)	(D)
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	115,027,595.	115,027,595.		
2				
3				
4				
5	454,228.		454,228.	
6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	172,658.			172,658.
7	6,943,192.	67,536.	67,450.	6,808,206.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	484,844.	3,525.	42,478.	438,841.
9	1,225,717.	8,910.	107,387.	1,109,420.
10	516,587.	4,609.	35,600.	476,378.
11				
a				
b	1,933.	1,933.		
c	94,445.		76,327.	18,118.
d				
e	1,293,997.			1,293,997.
f	2,824,059.	2,824,059.		
g	2,549,936.	1,359,179.	250,361.	940,396.
12	65,692.	63,450.		2,242.
13	1,093,240.	746,385.	6,170.	340,685.
14	22,998.	20,714.		2,284.
15				
16	17,255.	11,780.		5,475.
17	97,393.	48,299.		49,094.
18				
19	16,259.	2,352.		13,907.
20				
21	6,820,970.		6,818,952.	2,018.
22				
23	14,334.	14,038.		296.
24				
a _____	1,470,132.	1,332,430.		137,702.
b _____	305,949.			
c _____	14,808.			
d _____				
e _____				
25	141,528,221.			
26				

Check here if following SOP 98-2 (ASC 958-720)

		4,796,589.	2,814,167.
		165,353,667.	144,040,885.
		3,353,076.	1,598,269.
		31,391.	31,391.
	961,885.		
	282,877.	686,745.	679,008.
		592,389,089.	755,252,949.
		526,075,363.	696,800,271.
		9,972,923.	22,222,675.
		1,302,658,843.	1,623,439,615.
		4,669,396.	2,931,880.
		0.	0.
		300,796,363.	432,561,823.
		2,898,332.	4,955,173.
		308,364,091.	440,448,876.
		2,250,734.	2,307,750.
		992,044,018.	1,180,682,989.
		994,294,752.	1,182,990,739.
		1,302,658,843.	1,623,439,615.

X



X

233, 378, 142
141, 528, 221.
91, 849, 921.
994, 294, 752
167, 616, 816

- 70, 770, 750

1, 182, 990, 739.



X



X



X



X

X



X



(Form 990 or 990-EZ)

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Department of the Treasury Internal Revenue Service

Attach to Form 990 or Form 990-EZ. Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

Name of the organization ARI ZONA STATE UN VERSI TY FOUNDATI ON FOR A NEWANERI CAN UN VERSI TY

Employer identification number 86-6051042

P((-fvp) xp.x) | t, r- | €(t.t.w) €pf.8btt } . fir.x) , =

- 1 Prwfwr- | t) .x) -urwfwvt, -f p, -rp.x) -urwfwvt, st,r fqts } section 170(b)(1)(A)(i).
2 P, rw-(st,r fqts } section 170(b)(1)(A)(ii). P..prwbrwtstft T U-f | HH? -fHH? 4i 88
3 P w, Exp{-fpr --€t fp. x t w, Exp{, t f x t -fvp) xp.x) st,r fqts } section 170(b)(1)(A)(iii).
4 P | ts xp{ft, tpf w-fvp) xp.x) -€t fp.ts } r-) y) r.x) xwp'w, Exp{st,r fqts } section 170(b)(1)(A)(iii). T) .tf.wt w, Exp{6 } p | t; rxS'p) s' . p.tl
5 X P) -fvp) xp.x) -€t fp.ts u-f.wt qt) tu:-upr-((vt -f t) x t f, xS' -) ts -f -€t fp.ts qS'pv -ttf | t) .p{t) x.st,r fqts } section 170(b)(1)(A)(iv). R- | €(t.t.pf.)8
6 P'utstfp{ . p.t) -f(-rp{v-ttf | t) :-fv-ttf | t) .p{t) x.st,r fqts } section 170(b)(1)(A)(v).
7 P) -fvp) xp.x) .wp.) -f p{S'ftrtt, p, tq, p) .p{€pf.-ux., t€€-f.yf- | pv-ttf | t) .p{t) x:-f.yf- | .wt vt) t fp{€t q{x' st,r fqts } section 170(b)(1)(A)(vi). R- | €(t.t.pf.)8
8 P r- | | t) xS' . ft, .st,r fqts } section 170(b)(1)(A)(vi). R- | €(t.t.pf.)8
9 P) pvfx t{.tfp{ft, tpf w-fvp) xp.x) st,r fqts } section 170(b)(1)(A)(ix) -€t fp.ts } r-) y) r.x) xwp'p) s-vfp) .r-((vt -f t) x t f, xS'-fp) -) p) s-vfp) .r-((vt -upvfx t{.tf t tt } . fir.x) , 8T) .tf.wt) p | t; rxS'p) s' . p.t -u.wt r-((vt -f t) x t f, xS'
10 P) -fvp) xp.x) .wp.) -f p{S'ftrtt, 7e8 | -f .wp) 'BB@B4 -ux., t€€-f.yf- | r-) .fqtx) , | t | qt, f.w€ut, ; p) s'v f, .ftrt€., .yf- | pr.xxt, ft{p.ts :-x., t%€ | €.(t) r.x) , , tqytr... rtf, p } t%€t€., Jp) s' 7A8) - | -f .wp) 'BB@B4 -ux., t€€-f.yf- | v f, ., } t, ., | t) ., } r- | t p) s' t) ft p.ts qt, } t, ., p%€€t } r- | t' t, ., tr.x) 'D@@.p%€€- | qt, } t, ., pr, t } ts qS' .wt -fvp) xp.x) put fYt) t B? ; @HFD= btt section 509(a)(2). R- | €(t.t.pf.)8
11 P) -fvp) xp.x) -fvp) xts p) s' -€t fp.ts t%€t, x t S' . t, . u-f€t q{x' . put .S=btt' section 509(a)(4).
12 P) -fvp) xp.x) -fvp) xts p) s' -€t fp.ts t%€t, x t S' u-f.wt qt) tu:-u:-€t fu-f | .wt ut) r.x) , -u-f.-rpfS' -t...wt €t f€-, t, -u-) t -f | -f €t q{x' S, t€€-f.ts -fvp) xp.x) , st,r fqts } section 509(a)(1) -f section 509(a)(2)=btt section 509(a)(3). Rvtrz.wt'q-% } t, @Ap.wf-tvw@As'.wp.st,r fqt, .wt .S€t -u, t€€-f.v -fvp) xp.x) p) s' r- | €(t.t) } t, @At; @Au) p) s' @Av=
a Type I. P, t€€-f.v -fvp) xp.x) -€t fp.ts, t€€t x ts; -f r-) .f-(ts' qS' x, t€€-f.ts -fvp) xp.x) 7 8 .S€xp{(S' qS' vxt } v .wt , t€€-f.ts -fvp) xp.x) 7 8 .wt €- tf . f vt p f S pq A

You must complete Part IV, Sections A and B.

Table with 4 columns: Type II, 1A, A, €qA, A. Row 1: s A, A, -f p f s' t € - t f q S' v, A

You must complete Part IV, Sections A and C.

- c Type III functionally integrated.
A A S I You must complete Part IV, Sections A, D, and E.
d Type III non-functionally integrated.

You must complete Part IV, Sections A and D, and Part V.

Table with 4 columns: AA tA X, tf, As ft, D T, A A. Row 1: e

Table with 6 columns: (i) Name of supported organization, (ii) EIN, (iii) Type of organization, (iv) Is the organization listed in your governing document?, (v) Amount of monetary support, (vi) Amount of other support. Includes Total row.



	108,609,322	171,999,959	183,737,843	173,596,169	153,097,956	791,041,249
	108,609,322	171,999,959	183,737,843	173,596,169	153,097,956	791,041,249
						37,098,439
						753,942,810

	108,609,322	171,999,959	183,737,843	173,596,169	153,097,956	791,041,249
	8,862,987	9,330,873	13,903,084	17,944,330	14,217,519	64,258,793
						855,300,042
						18,984,043

						88.15
						87.20

X

ARI ZONA STATE UNIVERSITY FOUNDATION FOR

A NEWARKERIAN UNIVERSITY

86-6051042

prt 3

brwstft P:U-fj HH2-fHH2ji 8A?A? A NEWARKERIAN UNIVERSITY

pf.XX btfEE-f.brwstft(u-f^fv)xp.x} St,r fqts } btr.x} D?H^8A8
R-| E(t.t-) Sxu-tirvtrzt's wt q-%o } t@? -u pf.X-fu.wt -fv) xp.x} up(xt'... , tp(xst) stf_pf.XX.Xi.wt -fv) xp.x} up(x'...
tp(xst) stf_wt'... } x ts:qt(- E(tp.t-r-| E(t.t) pf.XX8

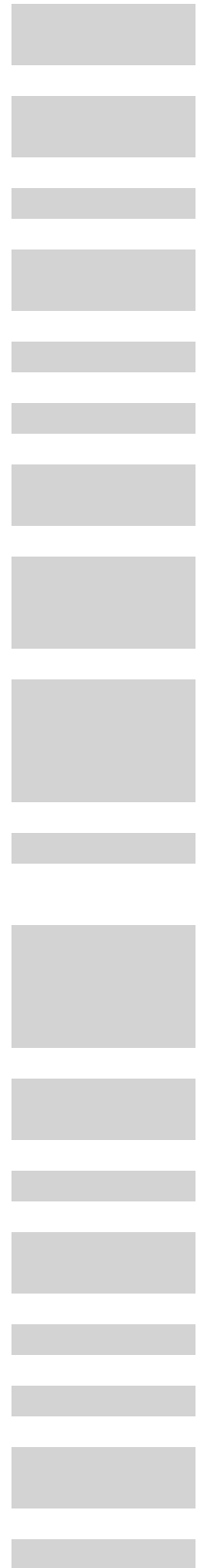
Table with 7 columns: (a) A?@F, (b) A?@F, (c) A?@G, (d) A?@H, (e) A?A?, (f) c-.p{. Rows include items 1-7 and a total row. Item 7a includes a sub-item 'b' regarding disqualifications.

Table with 7 columns: (a) A?@F, (b) A?@F, (c) A?@G, (d) A?@H, (e) A?A?, (f) c-.p{. Rows include items 9, 10a, 11, 12, 13, and 14. Item 14 includes a sub-item 'b' regarding support tests.

Summary table with 4 columns: Description, 15, 16, 4. Rows 15 and 16.

Summary table with 4 columns: Description, 17, 18, 4. Rows 17 and 18.

Table with 7 columns: (a) A?@F, (b) A?@F, (c) A?@G, (d) A?@H, (e) A?A?, (f) c-.p{. Rows 19a, b, and 20.







1

Part VI See instructions.

Section A - Adjusted Net Income			
1			
2			
3			
4			
5			
6			
7			
8			
9	PY		
10			
11			
12			
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27			
28			
29			
30			
31			
32			
33			
34			
35			
36			
37			
38			
39			
40			
41			
42			
43			
44			
45			
46			
47			
48			
49			
50			
51			
52			
53			
54			
55			
56			
57			
58			
59			
60			
61			
62			
63			
64			
65			
66			
67			
68			
69			
70			
71			
72			
73			
74			
75			
76			
77			
78			
79			
80			
81			
82			
83			
84			
85			
86			
87			
88			
89			
90			
91			
92			
93			
94			
95			
96			
97			
98			
99			
100			



Section D - "2	b b 2	g g 3 3	3	3 +

ARIZONA STATE UNIVERSITY FOUNDATION FOR

ARIZONA STATE UNIVERSITY

86-6051042

pvt 8

brwtsft P 7J-f HH? -fHH? Ji 8A?A?

pf.eX

b t € € { t | t } . p { X u - f | p . x - } = _ f - t x t . w t % (p) p . x - } , f t , t x t s i q S _ p f . X X { t @ ? J _ p f . X X { t @ F p - f @ F q J _ p f . X X { t @ A J _ p f . X e ; b t r . x - } P ; { t , @ A ; B q ; B r ; C q ; C r ; D p ; E ; H p ; H q ; H r ; @ @ p ; @ @ q ; p } s @ @ J _ p f . X e ; b t r . x - } Q ; { t , @ p } s A J _ p f . X e ; b t r . x - } R ; { t @ _ p f . X e ; b t r . x - } S ; { t , A p } s B J _ p f . X e ; b t r . x - } T ; { t , @ r ; A p ; A q ; B p ; p } s B q J _ p f . e ; { t @ _ p f . e ; b t r . x - } Q ; { t @ t J _ p f . e ; b t r . x - } S ; { t , D ; E ; p } s G J p } s _ p f . e ; b t r . x - } T ; { t , A ; D ; p } s E = P { ~ r - | € (t . t . w x ; € p f . u - f p) S p s s x x - } p { X u - f | p . x - } =

Multiple horizontal lines for data entry.

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Attach to Form 990, Form 990-EZ, or Form 990-PF.
Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

Organization type

ARI ZONA STATE UNIVERSITY FOUNDATION FOR
A NEWARKERIAN UNIVERSITY

Employer identification number

86-6051042

Organization type

Filers of:

Section:

U-fj HH?~fHH?Ti

X D?@Tg 3 87}.tf}t| qt8-fvp}xp.x}

CHCF 7888-)t%| €.rvp/xxpq(t'.f, ...not'.fip.ts'p, p'€fip.t'u-t}sp.x}

DAF'€-(xxp{-fvp}xp.x}

U-fj HH?≤U

D?@T888t%| €.fip.t'u-t}sp.x}

CHCF 7888-)t%| €.rvp/xxpq(t'.f, ...fip.ts'p, p'€fip.t'u-t}sp.x}

D?@T888.p%| €.fip.t'u-t}sp.x}

Rvtrz xis-tf-fvp}xp.x} x'r-ttfts'qS.wt General Rule~fp Special Rule.

Note:

General Rule

Special Rules

X

(1)

(2)

exclusively

exclusively

General Rule

nonexclusively

Caution:

must

] p| t'-u-fvp}xp.x}

ARI ZONA STATE UN VERSI TY FOUNDATI ON FOR
A NEWANERI CAN UN VERSI TY

Employer identification number

86-6051042

_pf.X R~} .fq† .nf, ztt } .fr.x} .8d, t st €(xp.t r-€x, '-u_pf.Xxupssxx)p(, €prt x } ttsts=

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		3 10,001,000	Person X Payroll Noncash R- €(t.t'_pf.Xu-f }-}rp,wr-} .fq†.x} , -8
2		3 9,047,000	Person Payroll Noncash X R- €(t.t'_pf.Xu-f }-}rp,wr-} .fq†.x} , -8
3		3 6,000,000	Person X Payroll Noncash R- €(t.t'_pf.Xu-f }-}rp,wr-} .fq†.x} , -8
4		3 4,513,232	Person X Payroll Noncash R- €(t.t'_pf.Xu-f }-}rp,wr-} .fq†.x} , -8
5		3 3,574,642	Person X Payroll Noncash R- €(t.t'_pf.Xu-f }-}rp,wr-} .fq†.x} , -8
6		3 3,225,000	Person X Payroll Noncash R- €(t.t'_pf.Xu-f }-}rp,wr-} .fq†.x} , -8

] p| t'-u-fvp}xp.x}

ARI ZONA STATE UN VERSI TY FOUNDATI ON FOR

A NEWANERICAN UN VERSI TY

Employer identification number

86-6051042

_pf.:X] ~}rp,w_f-Etf.S 7tt}.,fr.x}, &d,t'st€(xp.t'r-Et, '-u_pf.:Xupssxx} p{, €prt'x } ttsts=

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) Dtt}.,fr.x},-8	(d) Date received
2	PAI NTI NGS	3 9,047,000	12/30/20
		3	
		3	
		3	
		3	
		3	

ARIZONA STATE UNIVERSITY FOUNDATION FOR
 A NEW AMERICAN UNIVERSITY

Employer identification number

86-6051042

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once.)

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____

ARI ZONA STATE UNI VERSI TY FOUNDATI ON FOR
A NEW AMERI CAN UNI VERSI TY

86-6051042

0	0
0	0
0	0
140,234,224	0
140,234,224	0
1,000,000	0

250,000	0
0	
0	

1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
				6,000,000
344,780	0	0	0	344,780
250,000	250,000	250,000	250,000	1,000,000
				1,500,000

pf.XXQ R-| €(t.t.xi.wt~fvp)xp.p.x} x't%€| €.:t}stf„tr.x} D?@T 8B8p}s'vp„] ^c'u(ts'U~f 'DFEG
7{tr.x} t}stf„tr.x} D?@T 8B8-

Table with columns: (a) Yes, No, (b) Amount. Rows 1-2a describe lobbying activities with corresponding 'Yes', 'No', and 'Amount' fields.

pf.XXQ R-| €(t.t.xi.wt~fvp)xp.p.x} x't%€| €.:t}stf„tr.x} D?@T 8C8„tr.x} D?@T 8D8~f„tr.x}
D?@T 8E8-

Table with columns: Yes, No. Rows 1-3 describe specific lobbying activities with 'Yes' and 'No' fields.

pf.XXQ R-| €(t.t.xi.wt~fvp)xp.p.x} x't%€| €.:t}stf„tr.x} D?@T 8C8„tr.x} D?@T 8D8~f„tr.x}
D?@T 8E8p}s'xutxwtf 78Q^cW_pf.XXQ; {t„ @p}s'A; pft'p„ ^ tfts'1] ~1^a'78_pf.XXQ; {t'B'x
p„ ^ tfts'1ht„ 4

Table with columns: Yes, No. Rows 1-5 describe lobbying activities with 'Yes' and 'No' fields.

pf.Xe b t€€(t t}p{X u-f p.x}

_f-tst'wt„r,f€x}„ ft„ t'xts'uf_p_f.XP; {t @_p_f.XQ; {t' CJ_p_f.XR; {t' DJ_p_f.XP 7uw(x.ts'vf-t€ {x„8_p_f.XP; {t„ @p}s'A 7tt
x„ fr.x}„ 8p}s'_p_f.XQ; {t @-P(„ ~r~| €(t.t.wx €pf„u-fp) S'pssxx)p{X u-f p.x} =
SRHEDUP E ? M

INFORMATION REGARDING LOBBYING ACTIVITIES

AS A PART OF ITS MISSION ASUF'S PARENT ORGANIZATION ASU ENTERPRISE

PART I

SUPPORT HIGH M M M M M M M M

PROM DE EXCELLENT EDUCATIONAL OPPORTUNITIES FOR ARI ZONA RESIDENTS

(Form 990)

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Department of the Treasury Internal Revenue Service

Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

Name of the organization ARI ZONA STATE UN VERSI TY FOUNDATI ON FOR A NEWANERI CAN UN VERSI TY

Employer identification number 86-6051042

Part I: Organization information including name, address, and identification number.

Table with 3 columns: Question number, Description, and Yes/No response columns.

Part II: Compensation of the highest compensated officer, director, or officer-in-charge.

Table with 4 columns: Question number, Description, and Yes/No response columns.

Part III: Compensation of the highest compensated independent contractor.

Table with 4 columns: Question number, Description, and Yes/No response columns.

██████████

██████████

██████████

██████████

████████████████████

R-| €f.t.i.xu.wt'-fvp} x p.x} 'p}, ^ tfts 'lht,1~) 'U-f' 'HH?:' pf.Xe: 't @q=btt 'U-f' 'HH?:' pf.g: 't @A=

Table with 3 columns: (a) Description of security or category, (b) Q--z 'tp{tt, and (c) \ t.w-s '-u{p{fp.x} | R-,...-ft} s<-uStpf | p/zt..tp{tt. Rows 1-10 contain security categories like Ux p) r p{st f{p.x}t, R{-,t \$ wt{s t, tx S x .t ft, ^ .wt f, P8, D8, R8, S8, T8, U8, V8, W8.

(Col. (b) must equal Form 990, Part X, col. (B) line 12.)

R-| €f.t.i.xu.wt'-fvp} x p.x} 'p}, ^ tfts 'lht,1~) 'U-f' 'HH?:' pf.Xe: 't @q=btt 'U-f' 'HH?:' pf.g: 't @B=

Table with 3 columns: (a) St,r f€ .x} '-u{ it,.. t) ..., (b) Q--z 'tp{tt, and (c) \ t.w-s '-u{p{fp.x} | R-,...-ft} s<-uStpf | p/zt..tp{tt. Rows 1-9 contain numerical entries (1) through (9).

(Col. (b) must equal Form 990, Part X, col. (B) line 13.)

R-| €f.t.i.xu.wt'-fvp} x p.x} 'p}, ^ tfts 'lht,1~) 'U-f' 'HH?:' pf.Xe: 't @s=btt 'U-f' 'HH?:' pf.g: 't @D=

Table with 2 columns: (a) St,r f€ .x} and (b) Q--z 'tp{tt. Rows 1-9 contain numerical entries (1) through (9).

Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)

R-| €f.t.i.xu.wt'-fvp} x p.x} 'p}, ^ tfts 'lht,1~) 'U-f' 'HH?:' pf.Xe: 't @t '-f @a= btt 'U-f' 'HH?:' pf.g: 't @D=

Table with 2 columns: (a) St,r f€ .x} '-u{ppqxS and (b) Q--z 'tp{tt. Rows 1-10 contain security categories like Utst p{x r-| t .p%t, A8, B8, C8, D8, E8, F8, G8, H8.

Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)

2.

brwstft S U-f HH28A?A?



R-| €ft.t.xu.wt~fvpx} p, ^ tfts'1ht,1~) U-f 'HH?; _pf.eXXX'Øt'@Ap=

1	c~.p{t%tt}tt;vpØ,;p} s'~.wtf, t€€-f.€tfptsxts'Øp} rpx{, .p.t t} ..	1	337, 435, 181.
2	P ~t) ...Ør{tsts'~) 'Øt'@qt..} ~.~) U-f 'HH?; _pf.eXXX'Øt'@A		
a] t..t) fpxts'vpØ, '7~., t, 8~) Øt, . . t} ..	2a	167, 616, 816
b	S~) p.ts, tfx t, p} s' t, t'~uiprxØt, ..	2b	
c	atr~tfx, '~u€fx-fStpfvfp} ..	2c	
d	^ .wtfSt, r fqt Ø _pf.gXXXB	2d	-69, 987, 957.
e	Pss'Øt, '2a .wf~tvw2d	2e	97, 628, 859.
3	btq.fpr.:'Øt'2e yf- 'Øt'1	3	239, 806, 322
4	P ~t) ...Ør{tsts'~) U-f 'HH?; _pf.eXXX'Øt'@A; qt..} ~.~) 'Øt'@		
a	Øt, . . t} .t%t), t, } ~.Ør{tsts'~) U-f 'HH?; _pf.eXXX'Øt'Fq	4a	2, 824, 059.
b	^ .wtfSt, r fqt Ø _pf.gXXXB	4b	-9, 252, 239.
c	Pss'Øt, '4a p) s' 4b	4c	-6, 428, 180
5	c~.p{t%tt}tt=Pss'Øt, '3 p) s' 4c. (This must equal Form 990, Part I, line 12.)	5	233, 378, 142



R-| €ft.t.xu.wt~fvpx} p, ^ tfts'1ht,1~) U-f 'HH?; _pf.eXXX'Øt'@Ap=

1	c~.p{t%tt}, t, p} s'~., t, '€tfptsxts'Øp} rpx{, .p.t t} ..	1	148, 448, 920
2	P ~t) ...Ør{tsts'~) 'Øt'@qt..} ~.~) U-f 'HH?; _pf.eXXX'Øt'AD		
a	S~) p.ts, tfx t, p} s' t, t'~uiprxØt, ..	2a	
b	_fx-fStpfpsy, . . t} ..	2b	
c	^ .wtf{~., t, ..	2c	
d	^ .wtfSt, r fqt Ø _pf.gXXXB	2d	9, 747, 566
e	Pss'Øt, '2a .wf~tvw2d	2e	9, 747, 566
3	btq.fpr.:'Øt'2e yf- 'Øt'1	3	138, 701, 354.
4	P ~t) ...Ør{tsts'~) U-f 'HH?; _pf.eXXX'Øt'AD; qt..} ~.~) 'Øt'@		
a	Øt, . . t} .t%t), t, } ~.Ør{tsts'~) U-f 'HH?; _pf.eXXX'Øt'Fq	4a	2, 824, 059.
b	^ .wtfSt, r fqt Ø _pf.gXXXB	4b	2, 808
c	Pss'Øt, '4a p) s' 4b	4c	2, 826, 867.
5	c~.p{t%tt} t, =Pss'Øt, '3 p) s' 4c. (This must equal Form 990, Part I, line 18.)	5	141, 528, 221.



u u " p} I, ..^ " ~.p{t%tt}, t, =Pss'Øt, '3 p) s' 4c.

PART IV, LINE 1B

ESCROW AND CUSTODIAL ARRANGEMENTS

THE FOUNDATION IS THE SOLE TRUSTEE OF TAYLOR TRUST FBO ASU FOUNDATION

(TAYLOR TRUST). TAYLOR TRUST'S MISSION IS TO ESTABLISH AND MAINTAIN THE

FRED E. TAYLOR CHAIR END PROFESSORSHIP IN REAL ESTATE AT THE ARI ZONA STATE

UNIVERSITY WP. CAREY SCHOOL OF BUSINESS.

PART IV, LINE 2B

ESCROW OR CUSTODIAL ACCOUNT LIABILITY

THE TAX YEARS ENDING JUNE 30, 2021, 2020, 2019 AND 2018 ARE STILL OPEN TO
AUDIT FOR BOTH FEDERAL AND STATE PURPOSES. THE ORGANIZATION HAS DETERMINED
THAT THERE ARE NO MATERIAL UNCERTAIN TAX POSITIONS THAT REQUIRE
RECOGNITION OR DISCLOSURE IN THE FINANCIAL STATEMENTS AS JUNE 30, 2021 AND
2020.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

CHANGE IN ASSETS DUE TO OTHERS	-71,427,801.
CHANGE IN VALUE IN SPLIT INTEREST AGREEMENTS	1,439,844.
TOTAL TO SCHEDULE D, PART XI, LINE 2D	-69,987,957.

PART XI, LINE 4B - OTHER ADJUSTMENTS:

SERVICE AGREEMENT	-9,747,566.
FOREIGN TAXES	2,808.
TAYLOR TRUST REVENUE	-5,844.
ENTERPRISE PARTNERS INVESTMENT EXPENSE	498,363.
TOTAL TO SCHEDULE D, PART XI, LINE 4B	-9,252,239.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

SERVICE AGREEMENT	9,747,566.
-------------------	------------

PART XI, LINE 4B - OTHER ADJUSTMENTS:

FOREIGN TAXES	2,808.
---------------	--------

ARIZONA STATE UNIVERSITY FOUNDATION FOR
A NEW AMERICAN UNIVERSITY

86-6051042

CENTRAL AMERICA AND THE CARIBBEAN	0	0 INVESTMENTS	242,675,153
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0 INVESTMENTS	14,135,359

0	0		256,810,512
0	0		0
0	0		256,810,512

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. R-| €(t.t'xi.wt '-fvp) x p.x-) 'p), ^ t fts 'lht, 1'-) 'U-fj 'HH?; '_pf.0e; {Ø t '@D; 'u-fp} S

1 (a)	(b) IRS code section and EIN (if applicable)	(c)	(d)	(e)	(f)	(g)	(h)	(i)

2

3

brwtsif U7U-f HH?8A?A?

Part III Grants and Other Assistance to Individuals Outside the United States. R-| €(t.t.xi.wt'-fvp) x p.x-} 'p), ^ tfts '1ht,1-) 'U-fj 'HH?; '_pf..x; 't @E=
_pf. Xxp) 'qt's t€(x p.t.s xipssxx) p(, €prt'x') ttsts=

(a)	(b)	(c) t qtf-u P...s...dr/8	(d)	(e) r b w fP...xvps	(f)	(g)	(h)

Department of the Treasury
Internal Revenue Service

ARIZONA STATE UNIVERSITY FOUNDATION FOR
A NEW AMERICAN UNIVERSITY

86-6051042

X
X
X
X

X

X

		Did			
THE EUDY COMPANY LTD - 4200 MASSACHUSETTS AVE. NW	FUNDRAI SER	X	3,793,036	240,000	3,553,036
SCOTT PRENN LLP - 28 OLD BRONXTON RD., LONDON, UNITED	CONSULTING	X	0	107,832	0
DAUN LLC - 207 WEST 21ST ST., NEWYORK, NY 10011	CONSULTING	X	0	250,000	0
TGA INTERNATIONAL - RUA DAS PALMEIRAS 103, SAO PAULO	CONSULTING	X	0	54,165	0
ELI ASSON GROUP - 55 WALKERS BROOK DR., READING, MA 01867	CONSULTING	X	0	145,750	0
AMBER JOHNSON - 2710 CORRIANDER PLACE, EDGEWATER,	FUNDRAI SER	X	0	111,012	0
ELI ZABETH GUIDI CESSI - 16 WAVERLY CT., APT. 2, MINLO	CONSULTING	X	0	48,000	0
NARRATIVE SHIFT - 1415 E. YALE STREET, PHOENIX, AZ	CONSULTING	X	0	78,000	0
TRUE SENSE MARKETING INC - 155 COMMERCE DR., FREEDOM PA	CONSULTING	X	0	205,238	0
YMI INTERESTS LLC - 2525 NORTH LOOP W, HOUSTON, TX 77008	CONSULTING	X	0	54,000	0
			3,793,036	1,293,997	3,553,036

AK, AZ, CO, HI, IL, IN, NY, OK, OR, SC



	(a)	(b)		(d)
				(a)
				(c)



	_____	_____	_____	

032082 11-25-20

(1) NAME OF FUNDRAISER: DAUN LLC

(1) ADDRESS OF FUNDRAISER: 207 WEST 21ST ST., NEWYORK, NY 10011

(1) NAME OF FUNDRAISER: TGA INTERNATIONAL

(1) ADDRESS OF FUNDRAISER: RUA DAS PALMEIRAS 103, SAO PAULO, BRAZIL

(1) NAME OF FUNDRAISER: ELI ASSON GROUP

(1) ADDRESS OF FUNDRAISER: 55 WALKERS BROOK DR., READING, MA 01867

(1) NAME OF FUNDRAISER: AMBER JOHNSON

(1) ADDRESS OF FUNDRAISER: 2710 CORRIANDER PLACE, EDGEWATER, MD 21037

(1) NAME OF FUNDRAISER: ELI ZABETH GUIDICESI

(1) ADDRESS OF FUNDRAISER:

16 WAVERLY CT., APT. 2, MENLO PARK, CA 94025-3549

(1) NAME OF FUNDRAISER: NARRATIVE SHIFT

(1) ADDRESS OF FUNDRAISER: 1415 E. YALE STREET, PHOENIX, AZ 85006

(1) NAME OF FUNDRAISER: TRUE SENSE MARKETING INC

(1) ADDRESS OF FUNDRAISER: 155 COMMERCE DR., FREEDOM, PA 15042

(1) NAME OF FUNDRAISER: YMI INTERESTS LLC

(1) ADDRESS OF FUNDRAISER: 2525 NORTH LOOP W, HOUSTON, TX 77008

SCHEDULE G, PART I, COLUMN (IV):

GROSS RECEIPTS FROM ACTIVITY

ASUF DID NOT RECEIVE ANY GROSS RECEIPTS AS A RESULT OF THE PAID

FUNDRAISER ACTIVITIES AMOUNTS PAID WERE FOR FUNDRAISING MATERIALS AND
COUNSEL ONLY.

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

OMB No. 1545-0047

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

| Attach to Form 990.

| Go to www.irs.gov/Form990 for the latest information.

Open to Public
Inspection

Employer identification number

ARIZONA STATE UNIVERSITY FOUNDATION FOR
 A NEW AMERICAN UNIVERSITY

brwts\ft XU-f\ HH?8

86-6051042

pvt. @

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments brwts\ft XU-f\ HH?8 pf. X8

(a) Description of property, project, or activity	(b) TIN	(c) EIN, tax-exempt status, and A-Z	(d) EIN, tax-exempt status, and A-Z	(e)	(f)	(g)	(h)
FIGHTER COUNTRY FOUNDATION 500 N ESTRELLA PARKWAY GOODYSP							

ARIZONA STATE UNIVERSITY FOUNDATION FOR
 A NEW AMERICAN UNIVERSITY

86-6051042

pvt@

brwts1ft XU-fl HH?8

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments brwts1ft XU-fl HH?8 pf. X8

(a) [] p t p } s i p s s f t . . ' - u ~ f v p } x p . x } ~ f v ~ t f t } ...	(b) TX	(c) R , tr . x } x i p t A ^ A	(d) Z	(e)	(f)	(g)	(h)
UNIVERSITY REALTY LLC PO BOX 2260 TEMPE, AZ 85280	47-5599177	501(C)(3)	3,134,937.	0			PROGRAM SUPPORT

ARIZONA STATE UNIVERSITY FOUNDATION FOR
A NEW AMERICAN UNIVERSITY

86-6051042

pvt 2

brwtsif XTU-fj HH28A?A?

Part III Grants and Other Assistance to Domestic Individuals. R-| €(t.t.xi.wt -fvp) x p.x} p} , ' tfts '1ht, 1-} 'U-fj 'HP?; 'pf.æ; 't AA=
_pf.ærp) 'qt 'st €(xp.ts 'xipssxx-) p[, €prt 'x ') ttsts=

(a) cŠ€t '-uvfp) :-fp, , x .p} rt	(b)] t qtf-u ftræx} ..	(c) P -t} :-u rp, wvfp} ...	(d) P -t} :-u} -< rp, wp, , x .p} rt	(e) \ t.w-s '-u+pp{tp.x-} q~-z; U\ e; p€€fp x p[- .wt fB	(f) St, r f€ .x} '-u} -} rp, wp, , x .p} rt

Part IV Supplemental Information. _f-tst 'wt x u-f p.x} ft, tfts x ' pf.X t AJ _pf.æ r-(t) } 78p) s.p) S:- wt fpssxx-) p(x u-f p.x} =

PART I, LINE 2

PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS
GRANTS PAID CONSIST OF FUNDS PROVIDED TO ARIZONA STATE UNIVERSITY, ITS
AFFILIATES, AND OTHER FOR-PROFITS AND NON-PROFITS FOR ASU RELATED
INITIATIVES, WHICH ARE ACCOUNTED FOR AND MONITORED THROUGH THE USE OF
ACCOUNTS AND ACCOUNT PURPOSE AT THE TIME OF EACH DISBURSEMENT.

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury Internal Revenue Service

Open to Public Inspection

Employer identification number

ARI ZONA STATE UNIVERSITY FOUNDATION FOR A NEWAMERICAN UNIVERSITY

86-6051042

	Yes	No
1a		
1b		
2		
3		
4a	X	
4b		X
4c		X
5		
5a		X
5b		X
6		
6a		X
6b		X
7	X	
8		X
9		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2020

ARIZONA STATE UNIVERSITY FOUNDATION FOR
A NEW AMERICAN UNIVERSITY

Q

Q

Q

Q

Q

Q

Q

PART I, LINE 4A:

SEVERANCE PAYMENT

MARCEL VALENTA, FORMER SECRETARY, RECEIVED A SEVERANCE PAYMENT OF
\$76,213 IN FISCAL YEAR 2021 WHICH IS REPORTED ON SCHEDULE J, PART II,
COLUMN B(III).

PART I, LINE 7:

NON-FIXED PAYMENTS

BONUSES ARE GIVEN ON A DISCRETIONARY BASIS BASED ON PERFORMANCE REVIEWS
AT THE END OF THE YEAR.

ARI ZONA STATE UNI VERSI TY FOUNDATI ON FOR
A NEW AMERI CAN UNI VERSI TY



86-6051042



SYBIL FRANCIS	FAMILY MEMBER OF DIRECTOR	172,658	COMP.		X



SCHEDULE L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:

(A) NAME OF PERSON SYBIL FRANCIS

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION FAMILY

MEMBER OF DIRECTOR

(C) AMOUNT OF TRANSACTION \$ 172,658

(D) DESCRIPTION OF TRANSACTION COMPENSATION FOR EMPLOYMENT

(E) SHARING OF ORGANIZATION REVENUES? = NO

SCHEDULE L, PART IV, COLUMN (C):

THE AMOUNT OF COMPENSATION REPORTED FOR SYBIL INCLUDES SALARY,
 BENEFITS, AND OTHER DEFERRED COMPENSATION

Department of the Treasury
Internal Revenue Service

Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
Attach to Form 990.
Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public
Inspection

Employer identification number

Employer identification number

	(a) Rwtrz'xu p€€(xrpq(t	(b)] t qtf-u r-) .fqf.x) , ~f xt , r-) .fqf.ts	(c)] ~}rp, wr-) .fqf.x) p -t} , .f€-f.ts'~} U-f' HH?; pf.eXX @t@v	(d) \ t.w-s'-ust.tf} } }v }~}rp, wr-) .fqf.x) p -t}..
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
21				
22				
23				
24				
25				
26				
27				
28				

29

30a

Yes No

--	--	--

b

31

--	--	--

32a

--	--	--

b

33

--	--	--

brwtsft \ 7l-f HH28A?A?

pf.X

b t € € (t | t } . p { X u - f | p . x - } = _ f - t x t . w t } u - f | p . x - } . f t . t y t s ' q S _ p f . X { } t . B ? q : B A q ; p } s B B : p } s ' ' w t . w t f . w t ' - f v p } x p . x - }
x ' f t € - f . x v } } _ p f . X r - (t |) ' q 8 ' . w t } t | q t f - u r - } . f q t . x - } ; ; . w t } t | q t f - u x t | , ' f t r t x t s ; - f p r - | q x p . x - } - u q - . w # P { ~ r - | € (t . t
. w x ' € p f . u - f p } S p s s x x - } p { x u - f | p . x - } =

SCHEDULE M PART I, COLUMN (B):

THE ORGANIZATION IS REPORTING THE NUMBER OF CONTRIBUTIONS IN COLUMN

(B).

SCHEDULE M LINE 32B:

THE FOUNDATION USES A VARIETY OF BROKERAGE AND SERVICE COMPANIES TO

CONVERT NON-CASH GIFTS TO CASH BASED ON THE TYPE OF NON-CASH GIFT

RECEIVED.

(Form 990 or 990-EZ)

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

OMB No. 1545-0047

Department of the Treasury
Internal Revenue Service

Attach to Form 990 or 990-EZ.
Go to www.irs.gov/Form990 for the latest information.

Open to Public Inspection

ARIZONA STATE UNIVERSITY FOUNDATION FOR
A NEWAMERICAN UNIVERSITY

Employer identification number
86-6051042

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION

THE ASU FOUNDATION FOR A NEWAMERICAN UNIVERSITY IS A PRIVATE,
NONPROFIT ORGANIZATION THAT RAISES AND MANAGES PRIVATE CONTRIBUTIONS TO
SUPPORT THE WORK OF ARIZONA STATE UNIVERSITY.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

THE ASU FOUNDATION PROVIDED OVER \$30 MILLION TO SUPPORT THE EDUCATION,
RESEARCH, PUBLIC SERVICE AND OTHER ACTIVITIES OF ARIZONA STATE
UNIVERSITY. IN ADDITION TO THE MORE THAN \$1.4 MILLION OF PROGRAM
REVENUE, ASU FOUNDATION PROVIDED OVER \$28 MILLION OF CONTRIBUTIONS IN
SUPPORT OF THESE ACTIVITIES.

EXPENSES \$ 23,135,988 INCL GRANTS OF \$ 21,855,244. REVENUE \$ 670,829.

FORM 990, PART VI, SECTION A, LINE 2

DOUG FULTON AND IRA FULTON HAVE A FAMILY RELATIONSHIP.

FORM 990, PART VI, SECTION A, LINE 4:

SIGNIFICANT CHANGES TO ORGANIZATIONAL DOCUMENTS
ON APRIL 30, 2021 THE ASU ENTERPRISE PARTNERS BOARD OF DIRECTORS APPROVED
AMENDMENTS TO THE BYLAWS OF THE ARIZONA STATE UNIVERSITY FOUNDATION FOR A
NEWAMERICAN UNIVERSITY THAT PERMITTED AN INVESTMENT COMMITTEE TO BE A
STANDING COMMITTEE OF THE BOARD. I INCREASED THE SIZE OF THE EXECUTIVE
COMMITTEE FROM FOUR (4) TO ELEVEN (11); AND CHANGED THE QUALIFICATIONS OF
EX OFFICIO MEMBERS SERVING ON THE BOARD AND ON ITS EXECUTIVE COMMITTEE.

FORM 990, PART VI, SECTION A, LINE 6:

For Paperwork v 2 2 v

] p| t' -u.wt' -fvp} x p.x} ARI ZONA STATE UN VERSI TY FOUNDATI ON FOR
A NEWAMERI CAN UN VERSI TY

Employer identification number
86-6051042

MEMBERS OR STOCKHOLDERS

ASU ENTERPRI SE PARTNERS SERVES AS THE SOLE MEMBER OF THE ORGANIZATI ON, AND

PROVIDES THEM WITH VARIOUS SUPPORTING SERVICES, SUCH AS LEGAL, HUMAN

RESOURCES, FINANCIAL, AND MARKETI NG AND TECHNICAL SERVICES. I N ADDITI ON

ASU ENTERPRI SE PARTNERS MANAGES THE RESERVES AND PROVIDES GUIDANCE AND

OVERSIGHT OF THE ORGANIZATI ON

FORM 990, PART VI, SECTI ON A, LI NE 7A:

MEMBERS WITH POWERS TO ELECT OR APPOINT

ASU ENTERPRI SE PARTNERS AS THE SOLE MEMBER HAS THE POWER TO ELECT OR

APPOINT MEMBERS OF THE GOVERNI NG BODY OF THE FOUNDATI ON

FORM 990, PART VI, SECTI ON A, LI NE 7B:

DECI SI ON SUBJECT TO APPROVAL

PER THE BYLAVS OF ASUF, THE FOLLOWI NG ACTI ONS SHALL NOT BE TAKEN BY THE

CORPORATI ON WITHOUT THE APPROVAL OF THE MEMBER: ELECTI ON AND REMOVAL OF

DI RECTORS, AMENDMENT OF THE ARTI CLES OF I N CORPORATI ON OF THE CORPORATI ON

AMENDMENT OF THE BYLAVS OF THE CORPORATI ON, MERGER, CONSOLI DATI ON OR

DI SSOLUTI ON OF THE CORPORATI ON, THE CREATI ON OF ANY SUBSI DI ARI ES OR

AFFI LI ATES OF THE CORPORATI ON, ADOPTI ON OF THE STRATEGI C AND ANNUAL

BUSI NESS PLANS AND ADOPTI ON OF THE ANNUAL BUDGET PREPARED BY THE

CORPORATI ON AND ANY ACTI ONS TAKEN BY THE CORPORATI ON, THE SELECTI ON AND

RETENTI ON OF THE CEO OF THE CORPORATI ON, THE MAKI NG OF ANY CAPI TAL

EXPENDI TURES, THE SELECTI ON OF THE MANNER AND LOCATI ON OF I NVESTMENT OF ANY

FI NANCIAL ASSETS, THE CREATI ON OR EXTENSI ON OF ANY LOANS BY OR ANY

BORROWI NG BY THE CORPORATI ON OR THE SALE OF ANY CAPI TAL ASSETS BY THE

CORPORATI ON

] p| t' -u.wt' -fvp} x p.x}

Employer identification number

ARI ZONA STATE UNIVERSITY FOUNDATION FOR
A NEW AMERICAN UNIVERSITY

Employer identification number
86-6051042

FOUNDATION AND NEGOTIATIONS WITH THE CEO ALL OTHER COMPENSATION DECISIONS

FOR KEY EMPLOYEES HAVE BEEN DELEGATED FROM THE BOARD OF DIRECTORS TO THE

CEO WHO FOLLOWS A SIMILAR PROCESS AFOREMENTIONED.

FORM 990, PART VI, SECTION C, LINE 19.

DOCUMENTS MADE AVAILABLE TO THE PUBLIC

THE FINANCIAL STATEMENTS, FORM 990, AND FORM 990-T FOR ASUF ARE AVAILABLE

TO THE PUBLIC ON THE ORGANIZATION'S WEBSITE. THE ORGANIZATION'S GOVERNING

DOCUMENTS AND CONFLICT OF INTEREST STATEMENT ARE NOT MADE AVAILABLE TO THE

PUBLIC.

FORM 990, PART VIII AND FORM 990, PART IX:

ADDITIONAL INFORMATION REGARDING FUNDRAISING

IN ITS EFFORT TO SUPPORT ASU, ASUF PERFORMS A BROAD SCOPE OF SERVICES

THAT INCLUDE FUNDRAISING AND INVESTMENT MANAGEMENT SERVICES. ASUF'S

FUNDRAISING EXPENSES SUPPORT ACTIVITIES THAT GENERATE CONTRIBUTIONS

THAT GO DIRECTLY TO ENTITIES OTHER THAN ITSELF, SUCH AS ASU AND OTHER

ASU AFFILIATES; THUS, NOT ALL FUNDRAISING RESULTS GENERATED THROUGH

ASUF'S EFFORTS ARE REFLECTED IN THE CONTRIBUTION TOTALS ON ASUF'S FORM

990.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

CHANGE IN ASSETS DUE TO OTHER ENTITIES -71,712,231.

CHANGE IN VALUE OF SPLIT-INTEREST AGREEMENTS 1,439,844.

ENTERPRISE PARTNERS INVESTMENT EXPENSE -498,363.

TOTAL TO FORM 990, PART XI, LINE 9 -70,770,750.

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Open to Public
Inspection

ARI ZONA STATE UN VERSI TY FOUNDATI ON FOR
A NEWAMERI CAN UN VERSI TY

Employer identification number

Part I

							Section 512(b)(13) controlled entity?
TAYLOR TRUST FBO ASU FOUNDATI ON - 86-6252445							
P. O. BOX 2260							
TEMPE, AZ 85280							
ASU RESEARCH ENTERPRI SE - 90-0868685							
M M M							
TEMPE, AZ 85280							
RESEARCH COLLABORATORY AT ASU - 46-3815674							
M M M							
TEMPE, AZ 85280							
ASU ENTERPRI SE PARTNERS - 47-5599177							

ASU FOUNDATION MEXICO A.C. SIERRA MOJADA 626, EDIFICIO NO CIUDAD DE MEXICO CIUDAD DE MEXICO MEXICO	EDUCATION	MEXICO	501(C)(3)	GLOBAL I/II	X
RCASU GERMANY GGNBH VALENTINSKAMP 70 HAMBURG, HAMBURG, GERMANY 20355	HOLDING CO	GERMANY	501(C)(3)	RCASU GERMANY	X
ECASU TRUST (MALAWI) - 02-0244133 PLOT NUMBER BWA/LA 14/115 LI LONGWE, MALAWI	EDUCATION	MALAWI	501(C)(3)	RCASU	X
ECASU MOROCCO 332 BD BRAH MIRCUNDAN MAARIF CASABLANCA, MOROCCO	EDUCATION	MOROCCO	501(C)(3)	RCASU	X

brwtsifia 71-f HH28A2A?

Part III Identification of Related Organizations Taxable as a Partnership. R-| €(t.t.xi.wt ~fv)xp.x} p} , ^ tft's 1ht, 1'~ U-f | HH?; _pf.:X; } t'BC; qtrpt, t'x.wps ~} t'~f | ~f' ft(p.ts ~fv)xp.x} , .fp.ts p, p'epf}.tf, w€'stfj v'.wt'.p%Stpf=

(a)] p t; pssft...; p} s TX ~uf (p.ts ~fv) xp.x}	(b) _f p} S pr .xXS	(c) Legal domicile (state or foreign country)	(d) Syt r .r ~} .f- (} v t} .xS	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) bwpft ~u...p{ } r- t	(g) bwpft ~u t} s < uStpf p...t...	(h) Disproportionate allocations?		(i) R-st'e-d OX p -t} .} q-% A? ~ubrvtst(t Z @U-f @?ED8	(j) General or managing partner?		(k) tft} .pvt ~} } tf, w€
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. R-| €(t.t.xi.wt ~fv)xp.x} p} , ^ tft's 1ht, 1'~ U-f | HH?; _pf.:X; } t'BC; qtrpt, t'x.wps ~} t'~f | ~f' ft(p.ts ~fv)xp.x} , .fp.ts p, p' r-f€-fp.x} ~f .fj...stfj v'.wt'.p%Stpf=

(a)] p t; pssft...; p} s TX ~uf (p.ts ~fv) xp.x}	(b) _f p} S pr .xXS	(c) Legal domicile (state or foreign country)	(d) Syt r .r ~} .f- (} v t} .xS	(e) cSt' ~ut} .xS Rr ~f€; br ~f€; ~f .fj...8	(f) bwpft ~u...p{ } r- t	(g) bwpft ~u t} s < uStpf p...t...	(h) _tft} .pvt ~} } tf, w€	(i) Section 512(b)(13) controlled entity?	
								Yes	No
AZTE VENTURES, CO - 27-0151042 1475 N SCOTTSDALE RD. STE. 200 SCOTTSDALE, AZ 85257	SOLAR ENERGY	AZ	N/A	C CORP					X
CHARITABLE REMAINDER TRUST (16) TEOTI HUACAN HOLDINGS, LLC - 81-1792379 P.O. BOX 2260 TEMPE, AZ 85280-2260	CHARIT REMTR	AZ	N/A	TRUST					X
	HOLDING CO	DE	N/A	C CORP					X

Part VI Unrelated Organizations Taxable as a Partnership.

(a)	(b)	(c)	(d)	(e) Are all partners sec. 501(c)(3) orgs.?		(f)	(g)	(h) Dispropor- tionate allocations?		(i) W	(j) General or managing partner?	
				Yes	No			Yes	No		Yes	No
												5
												5



A series of horizontal lines spanning the width of the page, providing a template for text entry.